The Guam Board of Registration for Professional Engineers, Architects and Land Surveyors

PEALS Regular Board Meeting

Thursday July 09, 2020
718 N Marine Corps Dr., Ste. 207 Upper Tumon, GU 96913

Present : BOARD MEMBERS

Maria Elizabeth V. Cristi, PE
Masoud K. Teimouri, PE
Cedric Q.T. Cruz, RA
Vincent P. Arriola, DPW Director
Paul L. Santos, PLS
Philip Villanueva, Public Member
Atty. Thomas Keeler

STAFF

Catherine S. Gutierrez, EBA
Nixon N. Isaac, Board Investigator

Guest : Brent Wiese, RA – Chairman of GBCC and Member of AIA

ITEM NO. 1 CALL TO ORDER

Board meeting was called to order at 3:16pm. BC Cristi called for introduction of attendees and confirmed a quorum.

Mission Statement was announced.

ITEM NO. 2 NEW BUSINESS

A. Approval of Meeting Minutes for March 12, 2020

BC Cristi called for brief review of PEALS Board meeting minutes for March 12, 2020 and called for comments.

Philip Villanueva, Public Member commented on the election of officer’s section of the meeting minutes. BM Villanueva stated that the order in which nominations should have been in the order starting with the seat for Chairperson, Vice-Chairperson then Secretary/Treasurer ensuring that no one would be eliminated from being nominated for Chairperson. He added that in the last election the order for nominations called for Secretary/Treasurer, Vice-Chairperson and Chairperson which eliminated BM Masoud Teimoury from being nominated for Chairperson.

BC Cristi acknowledged BM Villanueva’s comments to be added into current board meeting minutes.

BC Cristi, BM Santos and BS/T Cruz commented there were a few typographic errors to be corrected. BC Cristi, called for the board members to send these corrections via electronic copy to EBA Gutierrez by Tuesday July 14, 2020.
The Guam Board of Registration For Professional Engineers, Architects and Land Surveyors  
PEALS Board Regular Meeting  
Thursday July 09, 2020

ITEM NO. 2 NEW BUSINESS (Continued)

A. Approval of Meeting Minutes for March 12, 2020 (continued)

BC Cristi, called for a motion to approve minutes contingent to correction of typographical errors.

Motion: BS/T Cruz  
2nd: BC Cristi

BC Cristi, called for further discussion. There were no further discussions. BC Cristi called for a vote

All in Favor: 3

Abstained: 1

ITEM NO. 3 ENFORCEMENT | INVESTIGATIONS

A. Board Investigator’s Report

BI Isaac, reported 3 new cases were received by the board. He continued that the board was able to interview 2 individuals for 2 of the cases and were pending interviews for the remaining.

BC Cristi, opened discussion on the Complaint/Enforcement Summary and asked for BI Isaac to update the board on the 3 older cases. BI Isaac updated the board stating the latter 3 cases on the summary are still under ongoing investigations. BC Cristi inquired on the status of case number PB2019-007 Complainant - Nicholas Manley, PE / Respondent – David L. Adams. BI Isaac confirmed this case had no merit and was pending a letter closing out the complaint.

BM Santos inquired on an updated status on case PB2017-002 Complainant – Lorrette Guerrero Respondent – Blas C. Atalig. Atty. Keeler responded that the case was now with the courts pending a scheduled hearing on the matter.

(BC Cristi announced the presence of BVC Teimoury and DPW Director Arriola at 3:22pm.)

BM Villanueva began discussion on the timely (90 day) submissions of a response from the PEALS Board as prescribed by the AAL. BM Villanueva posted the question, what would happen if the board exceeded the 90 day period without a response. Atty. Keeler responded in stating that this is not governed by the AAL and that the 90 day period is not applicable. Atty. Keeler added his recommendation has always been that investigations be completed within a 30 day period unless there are extenuating circumstances that prohibited a response within that period.

BC Cristi asked BI Isaac if he was working closely with Atty. Keeler on these cases to which BI Isaac confirmed he was.
The Guam Board of Registration for Professional Engineers, Architects and Land Surveyors
PEALS Board Regular Meeting
Thursday July 09, 2020

ITEM NO. 4 OLD BUSINESS

BC Cristi called for update from EBA Gutierrez on old business.

A. GBCC | ICC Seminar Update

EBA Gutierrez updated the board in confirming that as of July 6, 2020 GCC confirmed receipt of the $2,000.00 direct payment for the board’s contribution to the GBCC/GCC sponsored ICC Seminar closing out this matter.

B. Rules and Regulations Update

EBA Gutierrez stated during the last PEALS Board meeting held on March 12, 2020 BC Cristi asked for clarification on the requirement of off island COA’s to establish a physical presence on Guam. EBA Gutierrez advised that some research was done and it was determined that during a regular board meeting held on March 26, 2013 the board approved the waiver of the requirement for a physical presence on island for a period of 6 months. Following the 6 month period the COA would be required to establish a physical presence or the COA renewal would be declined for renewal the following year. EBA Gutierrez added that Atty. Keefer also reminded the board in a subsequent meeting, that the rules and regulations must be in accordance with the law. Atty. Keefer commented that if this waiver was to be challenged a decision would be determined by the court. He further added that we have our law, rules and regulations. However, if a change in policy was recorded and all members are aware of the policy change it may or may not be enforceable. Atty. Keefer continued stating that while we are reviewing rules and regulations, this matter should be considered.

Discussions continued on this matter with BM Teimoury asking if we are requiring the RME to be physically present on island. BM Santos gave back ground on how this discussion came about.

(Audio recording of detailed discussions on this matter are available on our website at www.guam-peals.org.)

EBA Gutierrez continued and reported that AIA have completed their review of the PEALS Board’s law, rules and regulations and have submitted them for review. EBA Gutierrez suggested that the law be reviewed in conjunction with the rules and regulations to ensure they corresponded. Brent Weise, GBCC / AIA commented that this was the same approach AIA had taken when they did their review of the PEALS rules and regulations.

C. Bank of Guam Checking Account Update.

EBA Gutierrez gave an update on the status of the board’s efforts to establish a revolving fund checking account with the Bank of Guam. EBA Gutierrez advised it has been a challenge to establish the account due to bank requirement of and EIN number. In order for the PEALS Board to use DOA’s EIN number, the board will need to secure a letter from DOA and the Governor’s office confirm we are a government of Guam agency and are authorized to utilize the EIN number.
ITEM NO. 4 OLD BUSINESS (continued)

C. Bank of Guam Checking Account Update (continued)

EBA Gutierrez recapped the reason for trying to open the account with Bank of Guam is for ease and convenience due to the close proximity of the branch located in the same building. EBA Gutierrez recommended we continue to maintain the account with Bank of Hawaii until we can satisfy Bank of Guam Requirements.

ITEM NO. 5 EBA Report

A. Board Administrative Activities PL Statement

EBA Gutierrez reported on the status of the PEALS Board’s special funds account under DOA, Bank of Hawaii reconciliation and revenue summary.

(Audio recording of detailed discussions on this matter are available on our website at www.guamp-eals.org.)

B. Registrant / License Renewals as of April 30, 2020

EBA Gutierrez reported the board has completed the processing of renewals for registrant’s licenses expiring on April 30, 2020. EBA Gutierrez submitted quarterly breakdown reflecting the number of renewals processed.

(Audio recording of detailed discussions on this matter are available on our website at www.guamp-eals.org.)

ITEM NO. 6 OPEN DISCUSSION

A. FS Exam APPLICATION – Rekdahl, Kenneth M.

BC Cristi opened discussions on the application for FS exam approval for Kenneth M. Rekdahl, PE for FS exam for Surveyor Intern as requested by BM Santos. EBA Gutierrez provided background on the application advising the board Mr. Rekdahl is currently licensed as a civil engineer and is now seeking to take the exam for surveyor intern.

BM Santos, stated he reviewed the application and approved him for examination initially. He continued stating, after further consideration of the matter he realized the applicant was lacking courses in surveying.

BC Cristi, stated she agreed that there are course requirements in surveying that are lacking. She continued that the board should consider this application for various reasons, the first being the need for surveyors on island, secondly if the board were to look at the applicant minus his current license as a civil engineer, with his master’s degree alone, would he be required to take the lacking course?
A. FS Exam APPLICATION – Rekdahl, Kenneth M. (continued)

BM Santos replied that he would still need be required to take the surveying courses because he does not have any surveying background, unless he is applying through experience and education. EBA Gutierrez stated she had discussed the issue with the applicant who confirmed he was applying through experience and education and would be updating his resume to include the experience under a licensed surveyor. BM Santos agreed this was a different pathway to licensure and that they would have to review the updated resume to approve for examination.

BC Cristi mentioned DCA’s engineers have been exposed to surveying working with Nester Ignacio, PLS and they realized the lack of surveyors on island so they agreed to study together to take the exam for Surveying Intern. BC Cristi stated the board will review Ken Rekdahl’s application via experience for approval to take the exam for Land Surveying Intern.

BM Santos returned to BC Cristi’s comment about the need for land surveyors on island. BM Santos agreed that there is a need for land surveyors and emphasized the need for quality land surveyors.

B. Application for Mechanical and Fire Protection Exam

BC Cristi, opened discussions on an application from Braulio Manalo Rivera, Jr., EIT for approval to take both Mechanical Engineering and Fire Protections. BC Cristi continued stating although the law does not prohibit taking exams simultaneously because this is a unique application it should be discussed. BC Cristi continued that the applicant in this case is no doubt qualified to take both exams. PM Villanueva inquired if the applicant has to hold a Mechanical Engineering license by law to take the FPE. BC Cristi responded that the FPE is a relatively new field and generally Mechanical Engineers are usually the ones who take the FPE exam however, she stated there are a few Civil Engineers who have also taken the FPE Exam. BC Cristi stated we need to check on our rules, regulations and law to confirm specifics in the degree held in order to take the exam.

BVC Teimoury inquired if the applicant has work experience required. EBA Gutierrez confirmed he meets the minimum requirement for work experience under a licensed PE.

C. Building Permits for Clearing and Grading

BC Cristi opened the floor for further open discussions. BVC Teimoury opened discussions on reviewing applications for building permits, specifically clearing and grading permits. BVC Teimoury continued stating he has spoken to staff at DPW regarding taking a little bit more caution as we review these permits. BVC Teimoury stated that in observing some of the operations they have noted that some clearing and grading projects have evolved into a full-fledged quarrying activities with the extraction of materials for sale.

(Audio recording of detailed discussions on this matter are available on our website at www.guampeals.org.)
The Guam Board of Registration For Professional Engineers, Architects and Land Surveyors
PEALS Board Regular Meeting
Thursday July 09, 2020

ITEM NO. 6 OPEN DISCUSSION (continue)

D. Project on Military Property

BVC Teimoury opened discussion on the topic of military projects both on and off military property. BVC Teimoury asked if the PEALS Board requires registration of professionals engineers involved with project on or off the military bases for certification of work. BM Santos responded that the PEALS Board does not have jurisdiction over registrants doing work on military property.

BVC Teimoury stated that there is an Engineer from Ohio who prepared the plans for waste water force main 14 inch along Marine Corps. Drive. He continued stating that an engineer from off island my not have the knowledge of the lay of the land and materials, with the concern being slope stability. He stated that the work is no longer on military property and perhaps it would have been important to require an engineer who is registered with the PEALS Board who would be more familiar with the terrain and materials. Attorney Keeler addressed BVC Teimoury’s concern stating that it was the military who initially constructed the roadways and upon completion of the work when the roadways were returned to the local government the military reserved their rights of way to roads. He continued that any issues with the work would be address through the military with local government cooperation.

(Audio recording of detailed discussions on this matter are available on our website at www.guampeals.org.)

E. PEALS Board Status post COVID19 Closure

BC Cristi, continued with open discussions in acknowledging the work of the PEALS Board EBA and staff, stating that during the closure they worked from home and managed to complete the process of renewals of registrations within the June 30th deadline. BC Cristi also acknowledged and thanked Atty. Keeler for his guidance on the allowance of an extension from April 30th to June 30th.

F. Online Application

BC Cristi opened discussions on assisting the PEALS Board staff with the renewal process to be more effective and efficient by establishing online applications even if it means an increase in fees. She further stated this would be a good goal to have for the next coming fiscal year.

EBA Gutierrez responded to BC Cristi’s comments and advised that the PEALS Board’s contract with GuamWebz was recently renewed through GSA and that the plan was to submit a request for an extension to include the upgrade of the PEALS Board website and to include online applications and renewals.

BC Cristi commented with the staff’s help with online application that we focus on updating the law and updating the Rules and Regulations and to become more proactive in addressing situations within our law regarding emergency situations and the limiting functions of the agency.
ITEM NO. 6 OPEN DISCUSSION (continue)

G. NCEES Licensure Mobility

BC Cristi opened discussions regarding NCEES’s push for licensure mobility. BC Cristi stated although this is a novel and great idea with Guam we should approach this with caution simply because of our high seismic zone for buildings and high wind conditions when it comes to structural design. She continued with surveying, Guam has its own special surveying laws which a person has to be proficient prior to becoming a licensed land surveyor. Continuing, BC Cristi stated although mobility is being pushed aggressively on a national level we here in the pacific need to be rather cautious when it comes to our jurisdictions as our seismic conditions does not compare to the mainland conditions are.

H. NCEES Western Zone Candidates for Office

BC Cristi opened discussions on the candidates for NCEES Western Zone officers. She stated Mr. Paul Tyrel of Massachusetts a licensed surveyor running for NCEES Treasure seat, she stated she had emailed all board members information from this candidate for their consideration and reminded all that ballots are due by July 31, 2020.

BC Cristi extended congratulations to our own BM Paul L. Santos, PLS for being selected as a member of NCEES Enforcement Committee.

(BM Arriola excused himself from the meeting. BC Cristi acknowledged his departure from the meeting and thanked him for his presence.)

ITEM NO. 7 Public Comment

BC Cristi called for public comment. Mr. Brent Wiesi, RA, Chairman of GBCC and member of AIA spoke before the board regarding a letter that was submitted to the board regarding a requirement from DPW with the hope to work on solutions that would be amicable to all parties. He continued stating he would be available to answer any questions regarding the matter.

Atty. Keeler confirmed that DPW was in receipt of the letter from AIA and that a draft response was being reviewed by the DPW Director for approval.

(Copies of correspondences are attached for reference.)

BC Cristi called for any updates from the GBCC and AIA. Mr. Wiesi responded that the GBCC had planned a few workshops that have been put on hold due to the pandemic COVID19 crisis situation.

BC Cristi called for any further discussions. BM Villanueva spoke and requested clarification from Atty. Keeler since the AAL does not have govern over complaints what is the time table for the handling and processing of complaints. Atty. Keeler responded that there is not a set time frame set forth in PEALS Boards statutes. Atty. Keeler continued that it has been his recommendation that investigations be done within 30 days of filing adding that there may be situations given extenuating circumstances that may require additional time but in general the process should be done within a 30 day period.
The Guam Board of Registration For Professional Engineers, Architects and Land Surveyors
PEALS Board Regular Meeting
Thursday July 09, 2020

ITEM NO. 7 Public Comment (continued)

BC Cristi thanked Atty. Keeler for his clarification.

BC Cristi called for further discussions. BVC Teimoury raised the issue regarding a 5 lot subdivision of properties. He address BM Santos, stating that this has become a huge issue gaining access to these properties especially with the slope issue. BM Santos, responded that no definitive decisions were made on this matter. Discussions continued.

BC Cristi called point of order, that this was a land management issue.

Discussions continued.

(Audio recording of detailed discussions on this matter are available on our website at www.guamp-eals.org.)

BC Cristi called for further comments. BM Santos asked for clarification regarding the expiration of Seals after a registrant’s non-renewal of his/her license or passing away. BI Isaac responded in stating that the seal is valid for one year from the date the stamp was embedded on the plans. Atty. Keeler conquered that as long at the registrant was valid at the time of placing the stamp on the plans it remains valid. BM Santos suggested may be an item to discuss when reviewing rules, regulations and the law.

ITEM NO. 8 DPW Permits & DLM Survey Map Review

A. Department of Land Management

BC Cristi opened discussions on the review of DLM map review noting some minor discrepancies to be corrected.

(Atty. Keeler excuses himself from the meeting. BC Cristi acknowledges Atty. Keeler’s departure from the meeting and thanked him for his presence.)

BC Cristi asked to schedule a working schedule for rules, regulation and law review. It was discussed and agreed to schedule a working session mid to end of August.

B. DPW Building Permits

BC Cristi opened discussion on DPW Building permit review. BVC Teimoury pointed out a few clearing and grading plan reviews to closely monitor.
ITEM NO. 9 Adjournment

BC Cristi called for a motion to adjourn. BM Santos motioned to adjourn. BST Cruz seconded the motion. BC Cristi called for a vote. Meeting was adjourned at 4:45pm.

Motioned: BM Santos
2nd: BST Cruz
All In Favor: 5/0

Approved by: [Signature]
Maria Elizabeth V. Cristi, PE
Board Chairperson

Date: 07 JANUARY 2021
APPENDIX
July 8, 2020

Catherine Gutierrez
Executive Board Director
PEALS
Suite 208, East-West Business Center
718 North Marine Drive
Upper Tumon, Guam 96913

RE: Hotel Tsubaki, Tumon, Guam

Buenas!

Recently as the Tsubaki Tower was trying to obtain a temporary Certificate of Occupancy for the completed floors (as there are floors still under construction), we received requests from DPW to sign letters drafted by DPW.

The Tsubaki Hotel is a new 27-story hotel here in Tumon on the Nikko Hotel property, and RIM Architects is the Architect-of-Record. The client in hopes of saving money limited our Construction Contract Administration duties (and fees) to provide the legal minimum. They did have us focused on fire rated shafts, fire rated wall separations, and other code critical items. We did review other items in the field as requested, but never did a final review, punch list, or full review of the project.

We all understand that DPW is understaffed and underfunded, and unable to do all the government inspections as would be required. Therefore, previous Building Inspection and Permits Administrator approved Electrical and Mechanical engineers to do inspections for DPW, who were paid directly by the client. He also approved the Structural Engineer to do all the Special Inspections and Construction Observation as required by codes. But we, as Architects, were never contracted to do inspections for DPW.

25 GAR PROFESSIONAL & VOCATIONAL REGULATIONS
CH. 1 GUAM BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS, ARCHITECTS AND LAND SURVEYORS
1208. Construction Services.

An engineer, architect and land surveyor shall provide construction contract administration when applications are made for building or construction permits involving the public safety and health. The term construction contract administration means making periodic visits to the site by a registered engineer, architect and land surveyor or authorized representative as the case may require, to observe the progress and quality of the executed work and to determine, in general, if the work is proceeding in accordance with the contract documents. It is not required that they make exhaustive or continuous on-site inspections to check the quality or quantity of the work nor is it intended that the
engineer, architect and land surveyor be responsible for construction means, methods, techniques, sequences or procedures, or of safety precautions and programs in connection with the work (emphasis mine).

It is our understanding that DPW refused to provide the Certificate of Occupancy since they were not able to do all the inspections they hoped to do, and therefore wanted the project's architect and engineers to certify the design and construction of the building. DPW required that the client provide a letter (see the attached image, handwritten) with all our professional seals and signatures, and that the client notarize his signature.

We refused. The language “construction...have passed the requirements of the building code and building laws of Guam and therefore the building is safe for full occupancy and use” was completely unacceptable. We have never certified the construction of any building to be “safe” (as this is completely ambiguous and subjective) and meeting the code (more on this concept later). Especially if we didn’t review all the work. And, as an Architect signing it, there is nothing in the letter that limits my signature to architectural elements only, as I could never certify the electrical, mechanical, and fire protection systems. As for placing our seal on the document, we believe this is a violation of 22 GCA BUSINESS REGULATIONS, CH. 32 PROFESSIONAL ENGINEERS, ARCHITECTS AND LAND SURVEYORS, (9) Seals of Architects, as this is not a technical submission prepared by a registered architect nor under my responsible control, nor was the construction under my responsible control.

DPW then came back with the second letter (see the attached PDF, typed). There was one for each discipline, all the same, but the names and positions in line 1 where changed. Again, we refused to sign this for a variety of reasons, but mostly because of item #5.

Starting from the beginning of the letter, each licensed professional by signing the document would be making a declaration that all items included are true, under penalty of perjury, a criminal offense. Therefore, every professional must know all items to be true. But permitting is typically done by the Owner or Contractor, and not all may be aware of item #4. And items #7 & #8 are really issues of contract between the Owner and DPW, of which the professionals have no control.

And then we get to item #5. “...I warrant that the architectural work performed during the design and construction of the Tsubaki Tower fully complies with all requirements of the 2009 International Building Code, the DPW and GovGuam.” To “warrant” is to guarantee, and contractually we avoid such language as it exceeds the standard of care required by PEALS, local law, and that we can be insured for.

And we cannot guarantee design and construction complies with “all” codes, DPW rules, and local Guam laws. The AIA Owner-Architect Standard Form of Agreement B101 (2007) recognizes that (1) there is often a bewildering number of laws and codes related to design and construction, making it unreasonable to expect an architect to be an expert in all of them; (2) the codes themselves may contradict each other; and (3) not all the design- and
construction-related laws and codes concern the architect. Thus, the A101 states, in Section 3.201, that

[the Architect shall review the program and other information furnished by the Owner, and shall review laws, codes, and regulations applicable to the Architect’s services (emphasis mine).

This is significant as generally most Architects don’t spend a lot of time reviewing all the Guam Contractors Licensing Board laws, DPW rules and regulations, as well as OSHA laws as they pertain to construction. Building codes, the ADA, and local laws can conflict, and it is our job to apply the most stringent requirement in our design. But by doing so, may not meet the exact wording of a specific code section, or law. Laws also change, and what complied to law during design and most of construction, may no longer do so. Although this is not a typical issue locally, in some jurisdictions it can be onerous to be aware and well versed in every new law, ordinance, and regulation. And finally, codes and law are often up to personal interpretation of which we fully comply to our understanding and legal advice provided, it may not comply with one not well versed in construction law.

This AIA A101 language effectively establishes the standard of care for architects relative to code compliance (the standard of care for Architects being how other Architects under similar circumstances, in the same time frame, and in the same locale, would be expected to perform). Agreeing to a “complies with all” type clause elevates an Architect’s professional standard of care beyond what is generally required and insurable, and would typically be replaced with words such as “take into account” or “review.”

There is considerable authority for this position:

The 2012 AIA Code of Ethics & Professional Conduct addresses this:

3.101 In performing professional services, members shall take into account applicable laws and regulations. Members may rely on the advice of other qualified persons as to the intent and meaning of such regulations (emphasis mine).

NCARB’s Rules of Conduct are recommended for Member Boards having the authority to promulgate and enforce rules of conduct. NCARB’s Rules state that:

[i]n designing a project, an architect shall take into account all applicable state and municipal building laws and regulations. While an architect may rely on the advice of other professionals (e.g., attorneys, engineers, and other qualified persons) as to the intent and meaning of such laws and regulations, once having obtained such advice, an architect shall not knowingly design a project in violation of such laws and regulations (emphasis mine).

We as professionals, are very confident that the design complies with the 2009 IBC (as amended for Guam), and local laws as they applied to the design at the time of permitting. In fact, the structural design went through a lengthy peer review to verify the integrity of the structural design.
But there is no way we could guarantee ("warrant") that the construction fully complies to the code and local laws. This is NOT what we designers do, especially if we have not reviewed the construction as it progressed as it was completed. And, we cannot be held responsible for work done to work we did review after our review. Anyone familiar with construction has seen this scenario over and over again, where a rated fire wall (or shaft) is built and reviewed to comply with code requirements, only to have some plumber or electrician poke a hole through it for a duct, pipe, or wire. In such a scenario, there is no way we can guarantee the work complies with the code. Again, keep in mind, we are signing this document under penalty of perjury.

We suggested adding language “to the best of our knowledge” to the end of the item #5 sentence, and the client promised to attach a letter stating what work we did do. At first the language change was not accepted by DPW, but later it was finally allowed.

And all of this happened the day before the Grand Opening. There was considerable pressure to find some way to make this work immediately. Unfortunately, this did not provide sufficient time to vet this through our insurance company, of which we are doing so now. But we are still not happy with the result.

We are bringing this to PEALS, as we need feedback as to if any of the required DPW language required us to exceed our professional license authority and would put us at risk. And, to assist DPW with future “declarations” or other agreements with verbiage that would be acceptable by all agencies and professionals.

We appreciate PEALS assistance in this matter. Please let me know if you have any questions or require further information.

Thank you,

RIM Architects (Guam), LLC

Brent L Wiese, AIA NCARB LEED AP BD+C
Managing Principal

Attachments: DPW letter1, DPW letter2

CC: Inna Wiese, President, AIA Marianas Chapter
Gabe Jugo, President, NSPE-GU
DECLARATION

STEVE BALDRIDGE makes this declaration under penalty of perjury under the laws of Guam and states:

1. I serve as a Guam professional structural engineer (Guam License No. ____________) employed by ____________.
2. I have personal knowledge of the facts set forth herein, and if called upon to testify, I would and could competently testify thereto.
3. I submit this declaration to provide the Department of Public Works ("DPW"), and Government of Guam ("GovGuam") with information pertinent to the design and construction of TNN Guam, Inc. (Tsubaki Tower) Hotel located at 241 Gun Beach Road, Tumon, Guam 96913-4204.
4. It is my understanding that the former DPW Inspection and Permit Administrator issued TNN Guam, Inc. Special Inspection Permit(s) (SI Permit) for the Tsubaki Tower.
5. TNN Guam, Inc. and I warrant that the structural work performed during the design and construction of the Tsubaki Tower fully complies with all requirements of the 2009 International Building Code, the DPW and GovGuam.
6. TNN Guam, Inc. and I warrant that the Tsubaki Tower's structural work was performed in workman like manner and both the current and future owners can reasonably rely on this representation.
7. TNN Guam, Inc. and I also warrant and agree that all remaining structural work inspections required for Tsubaki Tower will be coordinated with DPW's Building Permits Section.
8. TNN Guam, Inc. will provide the DPW Permit Section a complete electronic copy of the As-Built Building and Site Drawings by September 30, 2020.

I declare under penalty of perjury that the aforementioned is true.

Submitted this ___ day of _____, 2020.

By: ________________________________

STEVE BALDRIDGE

Affix Professional Seal

TNN GUAM, INC.
29 June 2020

Department of Public Works
North Marine Corps Drive
Upper Tumon, GU 96913

Subject: Geotechnical Engineering Certification of Tsubaki Hotel, Tumon Bay

Attention: Mr. Vince Arriola
Director

Dear Mr. Arriola:

Geo-Engineering & Testing, Inc. was the project geotechnical engineer and conducted testing of soils, limestone rock, concrete, and asphalt pavements during the construction of the Tsubaki Hotel in Tumon Bay, including special inspection of the building foundations.

Geo-Engineering & Testing, Inc. hereby certifies that the building foundations, concrete, and asphalt pavements were constructed in general conformance with the project plans and applicable specification sections.

Sincerely,

GEO-ENGINEERING & TESTING, INC.

[Signature]
Ukrit Sinprasanan, PE
Civil Engineer No. 360
President
THIS DOCUMENT REPORTS THAT ALL INSPECTIONS HAVE BEEN PERFORMED AND REGULARLY SCHEDULED.

TSUBAKI HOTEL CONSTRUCTION (UNDER PERMIT # 815009) HAVE PASSED THE REQUIREMENTS OF BUILDING CODE AND BUILDING LAWS OF GUAM AND THEREFORE THE BUILDING IS SAFE FOR FULL OCCUPANCY AND USE.

YURIY NIKHTOLOV

GUAM STRUCTURAL ENGINEER LICENSE NO.

WAYNE W. WIXON, PE
GUAM PROFESSIONAL ELECTRICAL ENGINEER

MARILYN NOTARTE, PE
GUAM MECHANICAL ENGINEER

WILLIAM MILLER
FIRE PROTECTION
GUAM MECHANICAL ENGINEER

EP H. SALANATIN
GUAM PROFESSIONAL CIVIL ENGINEER

UKRI SIRIPRUSANAN
GUAM GEOTECHNICAL PROFESSIONAL ENGINEER

BRENT WIESE
AIA ARCHITECTURAL

NOTARISED DOCUMENTS

BY TSUBAKI HOTEL OWNER REPRESENTATIVE.
DECLARATION

UKRIT SIRIPRUSANAN makes this declaration under penalty of perjury under the laws of Guam and states:

1. I serve as a Guam professional Geo-Technical engineer (Guam License No. 360) employed by Geo-Engineering & Testing, Inc.
2. I have personal knowledge of the facts set forth herein, and if called upon to testify, I would and could competently testify thereto.
3. I submit this declaration to provide the Department of Public Works ("DPW"), and Government of Guam ("GovGuam") with information pertinent to the design and construction of TNN Guam, Inc. (Tsubaki Tower) Hotel located at 241 Gun Beach Road, Tumon, Guam 96913-4204.
4. It is my understanding that the former DPW Inspection and Permit Administrator issued TNN Guam, Inc. Special Inspection Permit(s) (SI Permit) for the Tsubaki Tower.
5. TNN Guam, Inc. and I warrant that the geo-technical work performed during the design and construction of the Tsubaki Tower fully complies with all requirements of the 2009 International Building Code, the DPW and GovGuam.
6. TNN Guam Inc. and I warrant that the Tsubaki Tower's geo-technical work was performed in workman like manner and both the current and future owners can reasonably rely on this representation.
7. TNN Guam Inc. and I also warrant and agree that all remaining geo-technical work inspections required for Tsubaki Tower will be coordinated with DPW's Building Permits Section.
8. TNN Guam, Inc. will provide the DPW Permit Section a complete electronic copy of the As-Built Building and Site Drawings by September 30, 2020.

I declare under penalty of perjury that the aforementioned is true.

Submitted this [day of] [date] 2020.

By: [Signature]

UKRIT SIRIPRUSANAN

Affix Professional Seal

TNN GUAM, INC.
TERRITORY OF GUAM
BUILDING PERMIT
CERTIFICATE OF OCCUPANCY

THIS CERTIFICATE ISSUED PURSUANT TO THE REQUIREMENTS OF SECTION 31033, BUILDING LAW TITLE XXXII, GOVERNMENT CODE OF GUAM CERTIFYING THAT AT THE TIME OF ISSUANCE THIS STRUCTURE WAS IN COMPLIANCE WITH THE VARIOUS CODES, REGULATIONS, BUILDING STRUCTURE OR USE.

Date: 8-7-20
Permit No. B15000970

Applicant (Contractor): ASANUMA CORP. Address: P.O. BOX 22108
BARRIGADA, GUAM 96912
Contractor’s License No.: 4618 Permit To FULL OCCUPANCY

Units: 340 Story: 26 Proposed Use: HOTEL

Location: TUMON, THE TSUBAKI TOWER Zoning District: H

Lot No: 10113-1-R3/10113-1-3 Block No: ________ Tract No: ________

Construction Type: H Use Group: R-1 Basement Walls of Foundation: CONCRETE

Remarks: Full occupancy for Tsubaki Tower with all accessory facilities.

Owner: TNN GUAM, INC. Print
Signature

231 YPAO ROAD STE. 101 TAMUNING, GUAM 96931

Address

Building Official: ___________________________ 8-7-20
BUILDING INSPECTION AND PERMIT CERTIFICATION OF COMPLETION WITH PERMIT CONDITIONS AND COMPLIANCE

OWNER: TNN GUAM, INC.  SIGNATURE: TOMOYUKI HANEDA

PERMIT NO. B15000970  VILLAGE: TUMON

CONTRACTOR: ASANUMA CORP.  USE OF BUILDING: HOTEL

Agencies indicated (checked below) must certify that the finished construction complies with that Agency's pre-stated permit conditions. Certification by all Agencies must be obtained before an Occupancy Permit can be issued by the Department of Public Works or before resumption of occupancy can be allowed.

<table>
<thead>
<tr>
<th>AGENCY</th>
<th>DATE</th>
<th>AUTHORIZED NAME (PRINT)</th>
<th>SIGNATURE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department of Land Management</td>
<td>07/18/21</td>
<td>Gabe Veron</td>
<td></td>
</tr>
<tr>
<td>Guam Environmental Protection Agency</td>
<td>08/08/20</td>
<td>Walter L.</td>
<td></td>
</tr>
<tr>
<td>Guam Power Authority</td>
<td>07/20/20</td>
<td>Louis Cordova</td>
<td></td>
</tr>
<tr>
<td>Guam Waterworks Authority</td>
<td>07/20/20</td>
<td>Brian Hess</td>
<td></td>
</tr>
<tr>
<td>Fire Prevention Bureau</td>
<td>07/31/20</td>
<td>Fire Chief</td>
<td></td>
</tr>
<tr>
<td>Public Health and Social Services</td>
<td>07/30/20</td>
<td>E. Inan</td>
<td></td>
</tr>
<tr>
<td>Department of Parks and Recreation</td>
<td>04/24/20</td>
<td>Patrick Liu</td>
<td></td>
</tr>
<tr>
<td>DPW – Highway Division</td>
<td>05/07/20</td>
<td>R. Detri</td>
<td></td>
</tr>
<tr>
<td>Real Property Division</td>
<td>07/21/20</td>
<td>A. Kuwana</td>
<td></td>
</tr>
<tr>
<td>Dept. of Chamorro Affairs</td>
<td>07/21/20</td>
<td>I. Leon Guerro</td>
<td></td>
</tr>
</tbody>
</table>

Guam Council on the Arts + Humanities
TERRITORY OF GUAM
BUILDING PERMIT
CERTIFICATE OF OCCUPANCY

THIS CERTIFICATE IS ISSUED PURSUANT TO THE REQUIREMENTS OF SECTION 31033. BUILDING LAW TITLE XXXII GOVERNMENT CODE OF GUAM CERTIFYING THAT AT THE TIME OF ISSUANCE THIS STRUCTURE WAS IN COMPLIANCE WITH THE VARIOUS CODES, REGULATIONS, BUILDING STRUCTURE OR USE.

Date: 6/30/2020  Permit No. B5000970

Applicant (Contractor): ASANUMA CORP.  Address: PO BOX 22108  BARRIGADA GU 96912

Contractor's License No.: 461B  Permit To PARTIAL OCCUPY [SEE REMARKS]

Units: 24  Proposed Use: HOTEL

Location: TUMON  TELUWI TOWER  Zoning District: H

Lot No: 1013-1-23/1013-1-3  Block No:  Tract No:

Construction Type: 4  Use Group: R-1  Basement Walls of Foundation: CONCrete

Remarks:
CONDITIONAL APPROVAL GROUND FLOOR, LOBBY, MEETING, 4TH TO 22ND FLOOR ONLY
3RD FLOOR, 23 THRU 17TH FLOOR PENDING

Owner: TNN GUAM INC.  Signature

Address:

Building Official: MARK TONG  6/30/2020
BUILDING INSPECTION AND PERMIT CERTIFICATION OF
COMPLETION WITH PERMIT CONDITIONS AND COMPLIANCE

OWNER:    TINN GUAM, INC    SIGNATURE: Tomoyuki Haneda

PERMIT NO. B1500970    VILLAGE:    Tomon

CONTRACTOR:  ASANUMA CORP    USE OF BUILDING:    Hotel

Agencies indicated (checked below) must certify that the finished construction complies with that
Agency's pre-stated permit conditions. Certification by all Agencies must be obtained before an
Occupancy Permit can be issued by the Department of Public Works or before resumption of
occupancy can be allowed.

<table>
<thead>
<tr>
<th>AGENCY</th>
<th>DATE</th>
<th>AUTHORIZED NAME (PRINT)</th>
<th>SIGNATURE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department of Land Management</td>
<td>1/05/19</td>
<td>Garce Verlaine</td>
<td></td>
</tr>
<tr>
<td>Guam Environmental Protection Agency</td>
<td>12/6/20</td>
<td>Wally U</td>
<td></td>
</tr>
<tr>
<td>Guam Power Authority</td>
<td>11-20-19</td>
<td>Louis Canacho</td>
<td></td>
</tr>
<tr>
<td>Guam Waterworks Authority</td>
<td>5/27/19</td>
<td>Brian Hess</td>
<td></td>
</tr>
<tr>
<td>Fire Prevention Bureau</td>
<td>8/25/20</td>
<td>Off Leandre Tait</td>
<td>EVUM</td>
</tr>
<tr>
<td>Public Health and Social Services</td>
<td>8/23/20</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Department of Parks and Recreation</td>
<td>8/28/20</td>
<td>Patrick Lujan</td>
<td></td>
</tr>
<tr>
<td>DPW - Highway Division</td>
<td>8/18/20</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Real Property Division</td>
<td>11/26/19</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dept. of Chamorro Affairs</td>
<td>12/24/20</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Guam Council on the Arts & Humanities

Approved for partial occupancy for the following: Lobby, Mezzanine, 1st floor, 2nd floor.

See 5/26/20 for occupancy permit conditions.
# Building Inspection and Permits Section

**BUILDING INSPECTION SCHEDULE**

<table>
<thead>
<tr>
<th>Owner: TNR Guam Inc.</th>
<th>Building Permit No: B15-000970</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contractor: Oceania Cap.</td>
<td>Group/Type: 7-1 II</td>
</tr>
<tr>
<td>Location &amp; Lot No: 10113-1-R3 / 10113-1-3</td>
<td></td>
</tr>
<tr>
<td>Schedule Date: 8/7/20</td>
<td>Time: 12:00 PM</td>
</tr>
</tbody>
</table>

### BUILDING

- Footing
- Floor Slab
- Roof Slab
- Walls
- Frame
- Relocation
- Other

### ELECTRICAL

- Rough-in
- Finished
- Facilities
- Service
- Other

### PLUMBING

- Rough-in
- Finished
- Facilities
- Service
- Other

**REMARKS:** For Final Occupancy Permit.

Balance Fl. 3FL, 23rd - 27th Fl. Final Inspection.

<table>
<thead>
<tr>
<th>Inspector:</th>
<th>Date &amp; Time:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>8-7-20 Open</td>
</tr>
</tbody>
</table>

**ENDORSEMENT BY GOVERNMENT INSPECTOR**

I certify that all work [ ] is approved [ ] is not approved due to non-compliance with the Building Law, Government of Guam Standards and Projects plans and specifications.

- Building Inspector [ ] APPROVED [ ] DISAPPROVED
- Electrical Inspector [ ] APPROVED [ ] DISAPPROVED
- Plumbing Inspector [ ] APPROVED [ ] DISAPPROVED

---

1. Owner / Contractor's Copy - Yellow
2. Inspector's Copy - White

*PROVIDE LOCATION MAP ON THE BACK SIDE OF WHITE COPY.*
TERRITORY OF GUAM
BUILDING PERMIT
CERTIFICATE OF OCCUPANCY

This certificate issued pursuant to the requirements of Section 364-C, Building Law Title 4-XXXIII, Government Code of Guam certifying that at the time of issuance this structure was in compliance with the various codes, regulations, building structure or use. 

Date: 6/30/2020    Permit No: B-15000970

Applicant (Contractor): ASAMILHA CORP.  Address: PO BOX 22108  SANTJUAN GU 96912

Contractor's License No.: 4618    Permit To: PARTIAL OCCUPY (SEE REMARKS)

Units: 26    Story: 26    Proposed Use: HOTEL

Location: TUMON, TSUBAKI TOWER Zoning District: H

Lot No: 1013-1-3 Block No: 1013-1-3 Tract No: 1013-1-3

Construction Type: H Use Group: P-1 Basement Walls of Foundation: CMU

Remarks: 
   CONDITIONAL APPROVAL GROUND FLOOR LOBBY, MEETING, 11TH TO 22ND FLOOR ONLY
   3RD FLOOR, 23RD TO 37TH FLOOR PENDING

   Area or Volume:

Owner: TNN GUAM INC. Print: Owner's Signature

231 YPO ROAD STE 101 TAMuning GU 96913
Address

Building Official: 6/30/2020
BUILDING INSPECTION AND PERMIT CERTIFICATION OF COMPLETION WITH PERMIT CONDITIONS AND COMPLIANCE

OWNER: TAN GUAM INC. SIGNATURE: Tomoyuki Haneda

PERMIT NO. B15000970 VILLAGE: Tumon

CONTRACTOR: ASANUMA CORP USE OF BUILDING: HOTEL

Agencies indicated (checked below) must certify that the finished construction complies with that Agency’s pre-stated permit conditions. Certification by all Agencies must be obtained before an Occupancy Permit can be issued by the Department of Public Works or before resumption of occupancy can be allowed.

<table>
<thead>
<tr>
<th>AGENCY</th>
<th>DATE</th>
<th>AUTHORIZED NAME (PRINT)</th>
<th>SIGNATURE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Guam Environmental Protection Agency</td>
<td>11/15/20</td>
<td>Grace Verlala</td>
<td>dyiina</td>
</tr>
<tr>
<td>Guam Power Authority</td>
<td>11/15/20</td>
<td>Louis Guo</td>
<td>dyiina</td>
</tr>
<tr>
<td>Guam Waterworks Authority</td>
<td>11/15/20</td>
<td>Brian Hess</td>
<td>dyiina</td>
</tr>
<tr>
<td>Fire Prevention Bureau</td>
<td>11/15/20</td>
<td>CT Leonard Taite</td>
<td>dyiina</td>
</tr>
<tr>
<td>Public Health and Social Services</td>
<td>11/15/20</td>
<td></td>
<td>dyiina</td>
</tr>
<tr>
<td>Department of Parks and Recreation</td>
<td>11/15/20</td>
<td>Patrick Lyman</td>
<td>dyiina</td>
</tr>
<tr>
<td>DPW - Highway Division</td>
<td>11/15/20</td>
<td></td>
<td>dyiina</td>
</tr>
<tr>
<td>Real Property Division</td>
<td>11/15/20</td>
<td></td>
<td>dyiina</td>
</tr>
<tr>
<td>Dept. of Chamorro Affairs</td>
<td>11/15/20</td>
<td></td>
<td>dyiina</td>
</tr>
</tbody>
</table>

Approved for partial occupancy for the following Ground, Lobby, Mezzanine and 1st thru 22nd Floors. CT Tudek 11/15/2020 01/29/2020
Building Inspection and Permits Section

BUILDING INSPECTION SCHEDULE

Owner: JNW Guam Inc.  Building Permit No: B15000970
Contractor: Ayanna  Group/Type: R-1
Location & Lot No: 10112-1-R3  10113-1-2
Schedule Date: 6-26-20  Time: 1-5 pm  Date In:  Received by:

<table>
<thead>
<tr>
<th>BUILDING</th>
<th>ELECTRICAL</th>
<th>PLUMBING</th>
</tr>
</thead>
<tbody>
<tr>
<td>Footing</td>
<td>Rough-in</td>
<td>Rough-in</td>
</tr>
<tr>
<td>Floor Slab</td>
<td>Finished</td>
<td>Finished</td>
</tr>
<tr>
<td>Roof Slab</td>
<td>Facilities</td>
<td>Facilities</td>
</tr>
<tr>
<td>Walls</td>
<td>Service</td>
<td>Service</td>
</tr>
<tr>
<td>Frame</td>
<td>Other</td>
<td>Other</td>
</tr>
<tr>
<td>Relocation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

REMARKS:
Pre Inspection (23, 24, 25, 26, 27 th Floor)
Partial Occupancy Ch. Lobby, Mezzanine, 4FL - 22FL

Inspector: PETE  Inspector:  Inspector:
Date & Time: 6:30-9:30  Date & Time: 10:00-5:00
6-30-20

ENDORSEMENT BY GOVERNMENT INSPECTOR
I, certify that all work ☐ is approved ☐ is not approved due to non compliance with the Building Law, Government of Guam Standards and Project plans and specification.

Building Inspector
Date
APPROVED ☐ DISAPPROVED ☐

Electrical Inspector
Date
APPROVED ☐ DISAPPROVED ☐

Plumbing Inspector
Date
APPROVED ☐ DISAPPROVED ☐

1. Owner / Contractor's Copy - Yellow  2. Inspector's Copy - White

*PROVIDE LOCATION MAP ON THE BACK SIDE OF WHITE COPY.
TO: Mr. Nagarajan Nagarajan  
Attention: Tsubaki Tower  

June 30, 2020

CERTIFICATE OF COMPLETION

Dear Sirs:

We would like to inform you that our Installation work has been completed, tested all the requirements in accordance with ASME 17.1 2007 and contract dated June 15, 2016 between Mr. Tsuaka(Fujitec) and Mr. Haneda(TNN Guam Inc.).

1. Name of the Building: The Tsubaki Tower
2. Location: Tumon Guam
3. Elevator Number: No.1 (Guest Elevator)
4. Elevator Specification:
   a.) Capacity: 3000 lbs
   b.) Number of stops: 26
   c.) Speed: 400 fpm
   d.) Control: VVF
   f.) Car internal size: 6' 10 5/16" X 5' 0 1/16"(2000 mm X 1525 mm)
   g.) Entrance: 3’ 7 5/16” X 7’ 0 1/16”(1100 mm X 2135 mm)
   h.) Door Operation: 2 Panel Center Opening
   i.) Code: ASME 17.1 2007
   j.) Inspected by: Tom O’connors(QEI)

Yours truly,

[Signature]

JUN D. SINAG
Field Operation Manager/RME
TO: Mr. Nagarajan Nagarajan

Attention: Tsubaki Tower

June 30, 2020

CERTIFICATE OF COMPLETION

Dear Sirs:

We would like to inform you that our installation work has been completed, tested all the requirements in accordance with ASME 17.1 2007 and contract dated June 15, 2016 between Mr. Tasaka (Fujitec) and Mr. Haneda (TNN Guam Inc).

1. Name of the Building : The Tsubaki Tower
2. Location : Tumon Guam
3. Elevator Number : No. 2 (Guest Elevator)
4. Elevator Specification:
   a.) Capacity: 3000 lbs
   b.) Number of stops: 26
   c.) Speed: 400 fpm
   d.) Control: VVF
   e.) Car Internal size: 6’ 9 5/16” X 5’ 0 1/16” (2065 mm X 1525 mm)
   f.) Entrance: 3’ 7 5/16” X 7’ 0 1/16” (1100 mm X 2135 mm)
   g.) Door Operation: 2 Panel Center Opening
   h.) Code: ASME 17.1 2007
   i.) Inspected by: Tom O’connors (QE)

Yours truly,

JUN D. SINDAO
Field Operation Manager/RME
TO: Mr. Nagarajan Nagarajan

Attention: Tsubaki Tower

June 30, 2020

CERTIFICATE OF COMPLETION

Dear Sirs:

We would like to inform you that our installation work has been completed, tested all the requirements in accordance with ASME 17.1 2007 and contract dated June 15, 2016 between Mr. Tesaka (Fujitec) and Mr. Haneda (TNN Guam Inc.).

1. Name of the Building: The Tsubaki Tower
2. Location: Tumon Guam
3. Elevator Number: No. 3 (Guest Elevator)
4. Elevator Specification:
   a.) Capacity: 3000 lbs
   b.) Number of stops: 26
   c.) Speed: 400 fpm
   d.) Control: VVF
   f.) Car Internal size: 5' 10 5/16" X 5' 0 1/16" (2090 mm X 1525 mm)
   e.) Entrance: 3' 7 5/16" X 7' 0 1/16" (1100 mm X 2135 mm)
   h.) Door Operation: 2 Panel Center Opening
   i.) Code: ASME 17.1 2007
   j.) Inspected by: Tom O’connors (QE)

Yours truly,

JUN D. SINIAD
Field Operation Manager/RME
TO: Mr. Nagarajan Nagarajan

Attention: Tsubaki Tower

June 30, 2020

CERTIFICATE OF COMPLETION

Dear Sirs:

We would like to inform you that our installation work has been completed, tested all the requirements in accordance with ASME 17.1 2007 and contract dated June 15, 2016 between Mr. Tasaka (Fujitec) and Mr. Haneda (TNN Guam Inc).

1. Name of the Building : The Tsubaki Tower
2. Location : Tumon Guam
3. Elevator Number : No.4 (Guest Elevator)
4. Elevator Specification:
   a.) Capacity: 3000 lbs
   b.) Number of stops: 26
   c.) Speed: 400 fps
   d.) Control: VVF
   e.) Car Internal size: 6' 9 5/16" X 5' 0 1/16" (2063 mm X 1525 mm)
   f.) Entrance: 3' 7 5/16" X 7' 0 1/16" (1100 mm X 2135 mm)
   g.) Door Operation: 2 Panel Center Opening
   h.) Code: ASME 17.1 2007
   i.) Inspected by: Tom O’connors(QEI)

Yours truly,

[Signature]

Jun D. Sinlad
Field Operation Manager/RME
TO: Mr. Nagarajan Nagarajan  
Attention: Tsubaki Tower  

June 30, 2020

CERTIFICATE OF COMPLETION

Dear Sirs:

We would like to inform you that our installation work has been completed, tested all the requirements in accordance with ASME 17.1 2007 and contract dated June 15, 2016 between Mr. Tasaka(Fujitec) and Mr. Haneda(TNN Guam Inc.).

1. Name of the Building: The Tsubaki Tower
2. Location: Tumon Guam
3. Elevator Number: No. 5 (Service Elevator)
4. Elevator Specification:
   a.) Capacity: 3500 lbs
   b.) Number of stops: 27
   c.) Speed: 400 fpm
   d.) Control: VVF
   e.) Car Internal size: 5' 8 3/8" X 6' 1 1/4" (1730 mm X 1860 mm)
   f.) Entrance: 4' 1 3/16" X 7' 0 5/8" (1250 mm X 2150 mm)
   g.) Door Operation: 2 Panel Side Opening
   h.) Code: ASME 17.1 2007
   i.) Inspected by: Tom O’connors(QEI)

Yours truly,

JUN D. SIMLIO
Field Operation Manager/RME
TO: Mr. Nagarajan Nagarajan

Attention: Tsubaki Tower

June 30, 2020

CERTIFICATE OF COMPLETION

Dear Sirs:

We would like to inform you that our installation work has been completed, tested all the requirements in accordance with ASME 17.1 2007 and contract dated June 15, 2016 between Mr. Tasaka (Fujitec) and Mr. Hamed (TNN Guam Inc.).

1. Name of the Building : The Tsubaki Tower
2. Location : Tumon Guam
3. Elevator Number : No. 6 (Service Elevator)
4. Elevator Specification:
   a.) Capacity: 3500 lbs
   b.) Number of stops: 27
   c.) Speed: 400 fpsm
   d.) Control: VVF
   f.) Car internal size: 5' 8 1/8" X 6' 1 1/4" (1730 mm X 1860 mm)
   g.) Entrance: 4' 1 3/16" X 7' 0 5/8" (1250 mm X 2150 mm)
   h.) Door Operation: 2 Panel Side Opening
   i.) Code: ASME 17.1 2007
   j.) Inspected by: Tom O’connors (QE)

Yours truly,

JUN D. SINUAO
Field Operation Manager/RME
TO: Mr. Nagarajan Nagarajan
Attention: Tsubaki Tower

June 30, 2020

CERTIFICATE OF COMPLETION

Dear Sirs:

We would like to inform you that our installation work has been completed, tested all the requirements in accordance with ASME 17.1 2007 and contract dated June 15, 2016 between Mr. Tasaka(Fujitec) and Mr. Haneda(TNN Guam Inc.).

1. Name of the Building : The Tsubaki Tower
2. Location : Tumon Guam
3. Elevator Number : No. 7 (Service Elevator)
4. Elevator Specification:
   a.) Capacity: 4000 lbs
   b.) Number of stops: 27
   c.) Speed: 400 fps
   d.) Control: VVF
   f.) Car Internal size: 5' 8" X 7' 5" (1730 mm X 2270 mm)
   g.) Entrance: 4' 1 1/4" X 7' 0 5/8" (1250 mm X 2150 mm)
   h.) Door Operation: 2 Panel Side Opening
   i.) Code: ASME 17.1 2007
   j.) Inspected by: Tom O’connors(QEI)

Yours truly,

Field Operation Manager/RME

JUN D. SULTAN

Fujitec Pacific, Inc.
128 North Marine Corps Drive, Suite C
Tamuning, Guam 96913
Tel: (671) 646-4120
Fax: (671) 646-5902
Email: fujitecpacific@gu.fujitec.com
DECLARATION

STEVEN M BALDRIDGE makes this declaration under penalty of perjury under the laws of Guam and states:

1. I serve as a Guam licensed Structural Engineer (Guam license No. 1328) employed by BASE Micronesia.
2. I have personal knowledge of the facts set forth herein. and if called upon to testify, I would and could competently testify thereto.
3. I submit this declaration to provide the Department of Public Works ("DPW"), and Government of Guam ("GovGuam") with information pertinent to the design and construction of TNN Guam, Inc. (Tsubaki Tower) Hotel located at 241 Gun Beach Road, Tumon, Guam 96913-1204.
4. It is my understanding that the former DPW Inspection and Permit Administrator issues TNN Guam, Inc. Special Inspection Permit(s) (SI Permit) for the Tsubaki Tower.
5. TNN Guam, Inc. and I warrant, to the best of my knowledge, that the structural work of the Tsubaki Tower was performed in accordance with the plans, specifications and Structural Provisions of the 2009 International Building Code, the DPW and GovGuam.
6. TNN Guam, Inc. and I also warrant that the Tsubaki Tower’s structural work was performed in workman like manner and both the current and future owners can reasonably rely on this representation.
7. TNN Guam, Inc and I also warrant and agree that all remaining structural work inspections required for Tsubaki Tower will be coordinated with DPW’s Building Permit Section.
8. We have been informed that TNN Guam, Inc. will provide the DPW Permit Section a complete electronic copy of the As Built Building and Site Drawings by September 30, 2020.

I declare under penalty of perjury that the aforementioned is true.

Submitted this 30th day of June, 2020.

By: ____________________________

STEVEN M. BALDRIDGE
For BASE Micronesia

TNN Guam, Inc.
DECLARATION

PHILLIP NORET makes this declaration under penalty of perjury under the laws of Guam and states:

1. I serve as a Guam registered architect (Guam License No. A-267) employed by RIM Architects.
2. I have personal knowledge of the facts set forth herein, and if called upon to testify, I would and could competently testify thereto.
3. I submit this declaration to provide the Department of Public Works ("DPW"), and Government of Guam ("GovGuam") with information pertinent to the design and construction of TNN Guam, Inc. (Tsubaki Tower) Hotel located at 241 Gun Beach Road, Tumon, Guam 96913-4204.
4. It is my understanding that the former DPW Inspection and Permit Administrator issues TNN Guam, Inc. Special Inspection Permit(s) (SI Permit) for the Tsubaki Tower.
5. TNN Guam, Inc. and I warrant that the architectural work performed during the design and construction of the Tsubaki Tower fully complies with all requirements of the 2009 International Building Code, the DPW and GovGuam to the best of my knowledge.
6. TNN Guam, Inc. and I also warrant that the Tsubaki Tower’s architectural work was performed in workman like manner and both the current and future owners can reasonably rely on this representation.
7. TNN Guam, Inc. and I also warrant and agree that all remaining architectural work inspections required for Tsubaki Tower will be coordinated with DPW’s Building Permit Section.
8. TNN Guam, Inc. will provide the DPW Permit Section a complete electronic copy of the As-Built Building and Site Drawings by September 30, 2020.

I declare under penalty of perjury that the aforementioned is true.

Submitted this 30th day of June, 2020

By: PHILLIP NORET

Affix Professional Seal

TNN Guam, Inc.
DECLARATION

WAYNE WIXON makes this declaration under penalty of perjury under the laws of Guam and states:

1. I serve as a Guam professional electrical engineer (Guam License No. 869) employed by WIXON E. SYSTEMS LLC.

2. I have personal knowledge of the facts set forth herein, and if called upon to testify, I would and could competently testify thereto.

3. I submit this declaration to provide the Department of Public Works ("DPW"), and Government of Guam ("GovGuam") with information pertinent to the design and construction of TNN Guam, Inc. (Tsubaki Tower) Hotel located at 241 Gun Beach Road, Tumon, Guam 96913-4204.

4. It is my understanding that the former DPW Inspection and Permit Administrator issued TNN Guam, Inc. Special Inspection Permit(s) (SI Permit) for the Tsubaki Tower.

5. TNN Guam, Inc. and I warrant that the electrical work performed during the design and construction of the Tsubaki Tower fully complies with all requirements of the 2009 International Building Code, the DPW and GovGuam.

6. TNN Guam Inc. and I warrant that the Tsubaki Tower’s electrical work was performed in workmanlike manner and both the current and future owners can reasonably rely on this representation.

7. TNN Guam Inc. and I also warrant and agree that all remaining electrical work inspections required for Tsubaki Tower will be coordinated with DPW’s Building Permits Section.

8. TNN Guam, Inc. will provide the DPW Permit Section a complete electronic copy of the As Built Building and Site Drawings by September 30, 2020.

I declare under penalty of perjury that the aforementioned is true.

Submitted this 30th day of July, 2020

By: WAYNE WIXON

Affix Professional Seal

TNN GUAM, INC.
DECLARATION

ED SALANATIN makes this declaration under penalty of perjury under the laws of Guam and states:

1. I serve as a Guam professional civil engineer (Guam License No. 123) employed by Quenas-Gunacto & Assocs.
2. I have personal knowledge of the facts set forth herein, and if called upon to testify, I would and could competently testify thereto.
3. I submit this declaration to provide the Department of Public Works ("DPW"), and Government of Guam ("GovGuam") with information pertinent to the design and construction of TNN Guam, Inc. (Tsubaki Tower) Hotel located at 241 Gun Beach Road, Tumon, Guam 96913-4204.
4. It is my understanding that the former DPW Inspection and Permit Administrator issued TNN Guam, Inc. Special Inspection Permit(s) (SI Permit) for the Tsubaki Tower.
5. TNN Guam, Inc. and I warrant that the civil work performed during the design and construction of the Tsubaki Tower fully complies with all requirements of the 2009 International Building Code, the DPW and GovGuam.
6. TNN Guam Inc. and I warrant that the Tsubaki Tower's civil work was performed in workman like manner and both the current and future owners can reasonably rely on this representation.
7. TNN Guam Inc. and I also warrant and agree that all remaining civil work inspections required for Tsubaki Tower will be coordinated with DPW's Building Permits Section.
8. TNN Guam, Inc. will provide the DPW Permit Section a complete electronic copy of the As-Built Building and Site Drawings by September 30, 2020.

I declare under penalty of perjury that the aforementioned is true.

Submitted this 20th day of June, 2020.

By: ED SALANATIN

Affix Professional Seal

TNN GUAM, INC.
DECLARATION

WILLIAM MILLER makes this declaration under penalty of perjury under the laws of Guam and states:

1. I serve as a Guam professional Mechanical, Plumbing, and Fire Sprinkler engineer (Guam License No. 1064 MEC/1777 FP) employed by WILLIAM MILLER, P.E., P.S., P.L.C., LLC.
2. I have personal knowledge of the facts set forth herein, and if called upon to testify, I would and could competently testify thereto.
3. I submit this declaration to provide the Department of Public Works ("DPW"), and Government of Guam ("GovGuam") with information pertinent to the design and construction of TNN Guam, Inc. (Tsubaki Tower) Hotel located at 241 Gun Beach Road, Tumon, Guam 96913 4204.
4. It is my understanding that the former DPW Inspection and Permit Administrator issued TNN Guam, Inc. Special Inspection Permit(s) (SI Permit) for the Tsubaki Tower.
5. TNN Guam, Inc. and I warrant that the mechanical, plumbing, and fire sprinkler work performed during the design and construction of the Tsubaki Tower fully complies with all requirements of the 2009 International Building Code, the DPW and GovGuam.
6. TNN Guam Inc. and I warrant that the Tsubaki Tower's mechanical, plumbing and fire sprinkler work was performed in workman like manner and both the current and future owners can reasonably rely on this representation.
7. TNN Guam Inc. and I also warrant and agree that all remaining mechanical, plumbing and fire sprinkler work inspections required for Tsubaki Tower will be coordinated with DPW's Building Permits Section.
8. TNN Guam, Inc. will provide the DPW Permit Section a complete electronic copy of the As Built Building and Site Drawings by September 30, 2020.

I declare under penalty of perjury that the aforementioned is true.

Submitted this 23rd day of July 2020.

By

[Signature]

WILLIAM MILLER

Affix Professional Seal

TNN GUAM, INC.
DATE: 4/7/16

Mr. Glenn Leon Guerrero
Director
Department of Public Works
542 North Marine Corps Drive
Upper Tumon, Guam 96913

Re: Hotel Tsubaki Project; Hiring of Structural Engineer for Inspections

Dear Mr. Leon Guerrero:

This letter is to confirm that TNN Guam, Inc. ("TNN") has engaged "Base Micronesia" as structural engineer to inspect the above-referenced project. As a structural engineer, "Base Micronesia" is responsible for inspection of all work associated with structure and to submit necessary reports. Attached are the engineers' credentials who will be doing the inspections for your review. We request for your approval to contract "Base Micronesia".

Thank you for your continued support. We look forward to hear from you.

Sincerely,
TNN Guam, Inc

Milton Morinaga
Vice President
DATE: 5/23/17

Mr. Glenn Leon Guerrero
Director
Department of Public Works
542 North Marine Corps Drive
Upper Tumon, Guam 96913

Re: Hotel Tsubaki Project; Hiring of Electrical Engineer for Inspections

Dear Mr. Leon Guerrero:

I am writing to confirm that TNN Guam, Inc. ("TNN") has engaged Wixon Associates as Electrical Engineer inspector for the above-referenced Project. As the Electrical engineer, Wixon Associates will inspect all works associated with electrical, fire alarm, communication, submit reports etc. We are submitting the names of the engineers who will be doing the inspections. We request you to review the same & grant your concurrence.

The names are:
1. Wayne Waixon, PE
2. Armi Nunez, PE
3. Juan Crisostomo

Thank you for your continued support of the Project. We look forward to your approval/concurrence.

Sincerely,
TNN Guam, Inc

[Signature]
Director
May 31, 2017

Mr. Tomoyuki Haneda
Director
TNN Guam, Inc
231 Ypao Road Suite 101
Tamuning, Guam 96913

Referenced: Hotel Tsubaki Project

Buenas yan Hafa Adai!

Please be advised that effective immediately and until the Hotel Tsubaki Project is completed, the following inspectors below are authorized to inspect all works associated with electrical or mechanical only.

1. Wayne Waixon, PE
2. Armi Nunez, PE
3. Juan Crisostomo
4. Marlon Notarte, Mechanical PE
5. Eugene Mesa
6. Edward Sebedra
7. William Miller, Fire Protection PE
8. Cris Galang
9. James Chan

All mandatory documents must be filed with Department of Public Works (DPW) within the prescribed time. This includes monthly progress reports.

Should you have any questions, please feel free to contact me at 646-3131.

Regards,

GLENN LEON GUERRERO
June 29, 2020

Department of Public Works
542 North Marine Corps Drive
Upper Tumon, Guam 96913

Subject: Mechanical Certification up to 22 Floor for Tsubaki Hotel, Tumon, Guam

Attn: Mr. Vince Arriola, DPW Director

Dear Mr. Arriola,

WM Engineering Services, LLC (WMES) has conducted special inspections for mechanical work related to HVAC, plumbing and fire sprinkler systems for the above project up to the 22 second floor. WMES certifies that work completed has been installed and tested in accordance with the ICC International Mechanical code, Plumbing Code, and Fire Code.

Please contact me if you have any concerns.

Sincerely,

William G. Miller Jr., P.E. (Mechanical and Fire Protection)

169 Tun Josen Fejeran Street
Tumon, Guam 96913
P.O. Box 392, Hagåtña, Guam 96932
T: (671) 646-8127
F: (671) 646-0704
E: main@wmesguam.com
FIELD OBSERVATION REPORT

PROJECT: Tsubaki Hotel

DATE: 6/29/2020

PREPARED BY: Wayne Wixon

OBSERVATIONS:

I hereby certify that we have inspected the electrical systems of the above project as construction was on-going, and that all components have been properly installed in accordance with the plans and specification up to and including the 22nd floor.

by:

Wayne A. Wixon PE
June 29, 2020

Milton Morinaga  
Vice President  
TNN Guam Inc.  
231 Ypao Road, Suite 101 Ernst & Young Bldg  
Tamuning, Guam 96913

RE: Tsubaki Hotel  
     RIM Project No. 124045  
     Architectural Construction Contract Administration

Dear Mr. Morinaga-san:

RIM Architects performed periodic on-site observation for specific areas of the Tsubaki Hotel as requested by the client, up to the 22nd floor. All discrepancies were brought up to the immediate attention of the Contractor and have been corrected, there are no outstanding discrepancies. Generally, the on-site observations were done until we were satisfied with the work being done. These areas include the following:

- Fire Rated Shaft Walls
- Fire Rated Wall between Guest Rooms
- Door Hardware
- Window installation
- Staircase egress
- Below grade waterproofing

We also reviewed material and shop drawings for key building components and found them to meet the approved plans and specifications. These include the following:

- Doors, including fire doors
- Window assemblies and Glazing
- Exterior Louvers
- Fire rated finish materials; gypsum board
- Guardrails and Handrails
- Linen Chute
- Joint Sealants; both for fire and water protection

Based on our professional observation at the time of inspection, it is our firm opinion that to the best of our knowledge, the work observed was performed in accordance of the approved plans, specifications, and applicable workmanship provision of the 2009 International Building Code.
Please call if you have any questions on the review work as described.

Sincerely,

RIM Architects (Guam), LLC

[Signature]

Phillip L. Noret, AIA LEED AP
Principal
Friday 26 June 2020

Department of Public Works
342 North Marine Corps Drive
Upper Tumon, Guam 96913

Attention: Vince Ariola

Reference: Special Inspections – Nikko Hotel Annex
Permit No.: B15000970

Dear Vince,

This is to inform you that when notified by the permit applicant, our office performed Special Inspection services in accordance with Building Code on the following portions of work which required Special Inspections at the above location, and which our office was employed to inspect:

- Concrete
- Epoxy Dowels and Anchors
- Reinforcing Steel and Prestressing Steel Tendons
- Structural Welding
- Post Tensioning

Based upon our personal observation at the time of inspection and written reports of this work, it is in our judgment that to the best of our knowledge the structural work was performed in accordance with the approved plans, specifications, and the applicable workmanship provisions of the Building Code.

Sincerely,

Yuriy Mikhailov
ICC #8151887

For: BASE Micronesia Inc.
June 29, 2020

Mr. Vincent P. Arriola, Director
Department of Public Works
Government of Guam
542 North Marine Corps Drive
Upper Tumon, Guam 96913

Subject: TSUBAKI HOTEL - Civil Inspections and Special Structural Inspections Certification

Hafa Adai Mr. Arriola:

The following is the Statement of Civil Inspections that applies to all Civil Works for the Tsubaki Hotel and Special Structural Inspections as required by Section 1705 of the 2009 International Building Code (IBC) limited to the Swimming Pool, Kiddie Pool and Pool Lounge Bar.

CIVIL INSPECTION CERTIFICATION:
The Civil Works for the entire Tsubaki Hotel facility including but not limited to Sewer, Water, Storm Drainage, Grading, and Pavement works were inspected by DCA under my direct supervision and were completed in conformance with the approved plans and specifications and the applicable workmanship provisions of the code.

STRUCTURAL SPECIAL INSPECTION CERTIFICATION:
The Structural building components of the Swimming Pool, Kiddie Pool, and Pool Lounge Bar requiring special inspections were completed in conformance with the approved plans and specifications and the applicable workmanship provisions of the code.

Special Inspections for the Swimming Pool, Kiddie Pool, and Pool Lounge Bar were provided by DCA that included Structural Observations for all concrete construction listed on Table 1704.4 and Table 1704.5.3 of the IBC 2009 with corresponding frequency of inspections that apply to this project including but not limited to the following:

- Inspection of reinforcing steel placement; (Periodic)
- Inspection of anchors in hardened concrete; (Periodic)
- Verifying use of required design mix; (Periodic)
June 29, 2020

- At the time fresh concrete is sampled to fabricate specimens for strength tests, perform slump and air content tests, and determine the temperature of concrete; (Continuous)

- Inspection of concrete placement for proper application techniques; (Continuous)

- Inspection for maintenance of specified curing temperature and techniques; (Periodic)

- Verification of in-situ concrete strength prior to removal of forms; (Periodic)

- Inspect forms for shape, location and dimensions of the concrete member being formed; (Periodic)

- Inspection of Concrete Masonry Units, Mortar Joints, and Placement of Reinforcement; (Periodic)

- Placement of Grout in Concrete Masonry Units; (Continuous)

All Special Inspection Reports were prepared by DCA and the reports have been furnished to the Building Official and the Contractor.

All discrepancies were brought to the immediate attention of the Contractor and have been corrected and there are no outstanding discrepancies.

Please call me if you have any questions or concerns regarding the Statement of Civil Inspections and Special Structural Inspections.

Sincerely,

Thomas P. Camacho, SE
Executive Vice President

Attachments